# The tree-number and determinant expansions (Biggs 6-7)

André Schumacher

March 20, 2006

# Overview

- The *tree-number*  $\kappa(\Gamma)$
- $\kappa(\Gamma)$  and the Laplacian matrix
- $\bullet~{\rm The}~\sigma$  function
- Elementary (sub)graphs
- Coefficients of  $\chi(\Gamma,\lambda)$  revisited
- The tree-number and forests

# The *tree-number*

### **Definition:**

The number of spanning trees of a graph  $\Gamma$  is its *tree-number*, denoted by  $\kappa(\Gamma)$ .

 $\Gamma$  disconnected  $\rightarrow \kappa(\Gamma) = 0$ If  $\Gamma$  equals  $K_n \rightarrow \kappa(\Gamma) = n^{n-2}$ 

# Laplacian matrix Q

Recall from section 4: Laplacian matrix  $Q = DD^T$ .

## Lemma:

Let D be the incidence matrix of a graph  $\Gamma$ , and let Q be the Laplacian matrix. Then the adjugate of Q is a multiple of J, where J is the *all-ones* matrix.

Recall from linear algebra:

- Define minor  $M_{ij}$  of A as the determinant of the  $(n-1) \times (n-1)$  matrix that results from deleting row i and column j of A and the cofactor  $C_{ij} = (-1)^{i+j} M_{ij}$ .
- Then define the adjugate  $adj(A)_{ij} := C_{ji}$ .
- A adj(A) = adj(A) A = det(A) I

# Tree-number [1]

#### Lemma:

Every cofactor of Q is equal to the *tree-number* of  $\Gamma$ , i.e. :

$$adj(Q) = \kappa(\Gamma)J$$

Recall from section 4:

 $Q = \Delta - A$ , where  $\Delta$  contains the degree of each vertex on the diagonal

Thus, for the complete graph  $K_n$ :

$$Q = nI - J \to C_{ij} = n^{n-2}$$

# Tree-number [2]

## **Proposition:**

The *tree-number* of a graph  $\Gamma$  with n vertices is given by the formula

$$\kappa(\Gamma) = n^{-2}det(J+Q)$$

Defined in the results of section 4:

The Laplacian Spectrum of graph  $\Gamma$  is the spectrum of its Laplacian matrix  $Q = DD^T$  (eigenvalues).

#### **Corollary:**

Let  $0 \le \mu_1 \le \ldots \le \mu_{n-1}$  be the Laplacian spectrum of a graph  $\Gamma$ . Then:

$$\kappa(\Gamma) = \frac{\mu_1 \mu_2 \dots \mu_{n-1}}{n}$$

# Tree-number [3]

If  $\Gamma$  is connected and k-regular, and its spectrum is

Spec
$$\Gamma = \begin{pmatrix} k & \lambda_1 & \dots & \lambda_{s-1} \\ 1 & m_1 & \dots & m_{s-1} \end{pmatrix}$$

then

$$\kappa(\Gamma) = n^{-1} \prod_{r=1}^{s-1} (k - \lambda_r)^{m_r} = n^{-1} \chi'(\Gamma, k),$$

where  $\chi'$  denotes the derivative of the characteristic polynomial  $\chi$ . Application:

$$\kappa(L(\Gamma)) = 2^{m-n+1}k^{m-n}\kappa(\Gamma)$$

# $\sigma$ function

**Definition:** 

 $\sigma(\Gamma,\mu) := det(\mu I - Q)$ 

(characteristic function of the Laplacian matrix)

## **Proposition:**

- If  $\Gamma$  is disconnected, then the  $\sigma$  function for  $\Gamma$  is the product of the  $\sigma$  functions for the components of  $\Gamma$ .
- If  $\Gamma$  is a k-regular graph, then  $\sigma(\Gamma, \mu) = (-1)^n \chi(\Gamma, k \mu)$ .
- If Γ<sup>c</sup> is the complement of Γ, and Γ has n vertices, then κ(Γ) = n<sup>-2</sup>σ(Γ<sup>c</sup>, n). (the complementary graph has the same vertex set and the complementary set of edges, see results section 3)

# **Determinant expansion**

## **Definition:**

An elementary graph is a simple graph, each component of which is regular and has degree 1 or 2  $\leftrightarrow$  each component is a single edge  $(K_2)$  or a cycle  $(C_r)$ . A spanning elementary subgraph of  $\Gamma$  is an elementary subgraph which contains all vertices of  $\Gamma$ .

## **Proposition:**

$$det(A) = \sum sgn(\pi)a_{1,\pi 1}a_{2,\pi 2}\dots a_{n,\pi n},$$

where the summation is over all permutations  $\pi$  of the set  $\{1, 2, ..., n\}$ .

$$det(A) = \sum (-1)^{r(\Lambda)} 2^{s(\Lambda)},$$

where the summation is over all spanning elementary subgraphs  $\Lambda$  of  $\Gamma$ . (Recall:  $r(\Gamma) = n - c$ ,  $s(\Gamma) = m - n + c$ )

## Example

Consider the complete graph  $K_4$ . There are only 2 kinds of elementary subgraphs with four vertices: pairs of disjoint edges (r=2 and s=0) and 4-cycles (r=3 and s=1). There are three subgraphs of each kind so we have

$$det(A) = 3(-1)^2 2^0 + 3(-1)^3 2^1 = -3$$

# Characteristic polynomial revisited

Let

$$\chi(\Gamma,\lambda) = \lambda^n + c_1 \lambda^{n-1} c_2 \lambda^{n-2} + \ldots + c_n.$$

#### **Proposition:**

The coefficients of the characteristic polynomial are given by

$$(-1)^i c_i = \sum (-1)^{r(\Lambda)} 2^{s(\Lambda)},$$

where the summation is over all elementary subgraphs  $\Lambda$  of  $\Gamma$  with *i* vertices.

## Previous values for $c_i$

Previously, we found out:

- 1.  $c_1 = 0 \leftrightarrow$  There is no elementary subgraph with one vertex.
- 2.  $-c_2 =$  is the number of edges of  $\Gamma \leftrightarrow$  The number of elementary graphs with two vertices, r = 1, s = 0
- 3.  $-c_3 =$  twice the number of triangles in  $\Gamma \leftrightarrow$  The number of elementary graphs with three vertices times 2, r = 2, s = 1

Similar: The only elementary graphs with 4 vertices are the cycle graph  $C_4$  and the graph having two disjoint edges. Result:

 $c_4 =$  number of pairs of disjoint edges in  $\Gamma$ 

– number of 4-cycles in  $\Gamma$ 

 $r_1 = 2$ ,  $s_1 = 0$ ,  $r_2 = 3$ ,  $s_2 = 1$ 

# $\sigma$ function revisited [1]

Let

$$\sigma(\Gamma, \mu) = det(\mu I - Q) = \mu^n + q_1 \mu^{n-1} + \ldots + q_{n-1} \mu + q_n.$$

The  $(-1)^i q_i$  is the sum of the principal minors of Q which have i rows and columns. One can show:

$$q_1 = -2|ET|, \quad q_{n-1} = (-1)^{n-1}n\kappa(\Gamma), \quad q_n = 0.$$

# $\sigma$ function revisited [2]

Let D(X, Y) denote the submatrix of the incidence matrix D of  $\Gamma$  defined by the rows corresponding to vertices in X and the columns corresponding to edges in Y. (see also Proposition 5.4)

### Lemma:

Let  $V_0$  denote the vertex-set of the subgraph  $\langle Y \rangle$ . Then D(X,Y) is invertible if and only if the following conditions are satisfied:

- 1. X is a subset of  $V_0$ ;
- 2. < Y > contains no cycles;
- 3.  $V_0 \setminus X$  contains precisely one vertex from each component of  $\langle Y \rangle$ .

# $\sigma$ function revisited [3]

## **Definition:**

A graph  $\Phi$  whose co-rank is zero is a *forest*; it is the union of components each of which is a tree. We shall use the symbol  $p(\Phi)$  to denote the product of the number of vertices in the components of  $\Phi$ .

## **Theorem:**

$$(-1)^{i}q_{i} = \sum p(\Phi) \quad (1 \le i \le n),$$

where the summation is over all sub-forests  $\Phi$  of  $\Gamma$  which have *i* edges.

## **Tree-number revisited**

### **Corollary:**

The tree-number of a graph  $\Gamma$  is given by the formula

$$\kappa(\Gamma) = n^{n-2} \sum p(\Phi)(-n)^{-|E\Phi|},$$

where the summation is over all forests  $\Phi$  which are subgraphs of the complement of  $\Gamma.$ 

# $\chi$ and forests

#### **Proposition:**

Let  $\Gamma$  be a regular graph of degree k, and let  $\chi^{(i)}$   $(0 \le i \le n)$  denote the *i*th derivative of the characteristic polynomial of  $\Gamma$ . Then

$$\chi^{(i)}(\Gamma, k) = i! \sum p(\Phi),$$

where the summation is over all forests  $\Phi$  which are subgraphs of  $\Gamma$  with  $|E\Phi| = n - i$ .