

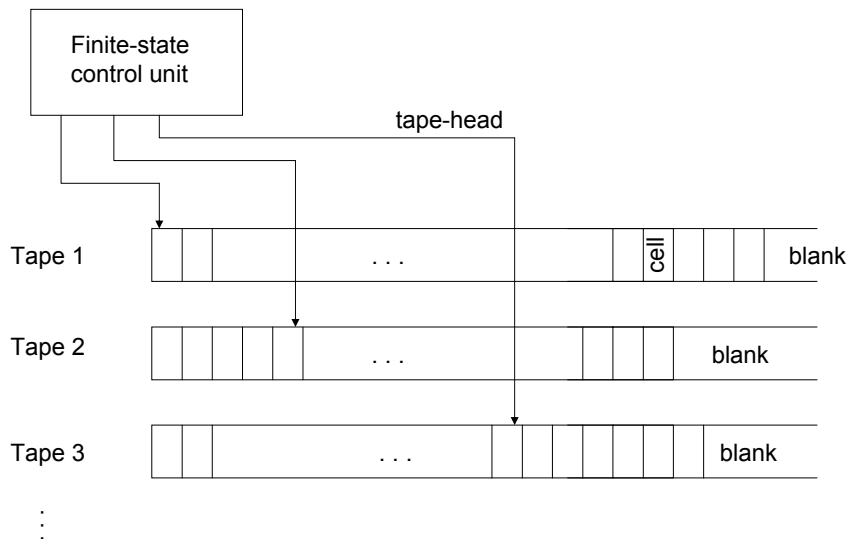
# T-79.5502 Advanced Course in Cryptology

Lecture 2, March 21, 2006

Computational Complexity

- Turing Machines
- Deterministic Polynomial Time
- Probabilistic Polynomial Time
- Non-deterministic Polynomial Time
- Non-Polynomial Bounds
- Polynomial-time Indistinguishability

## Turing Machine



# Turing computation

- A finite number of symbols are placed in the leftmost cells of the tape. The remaining cells to the right are set to blank.
- When in initial state the scanning starts from the leftmost cell.
- The tapeheads read contents of the cells. A step of access by tapehead is called a legal move.
- When a termination condition is reached, the machine is said to recognize the input.
- An input which can reach a termination condition is called an instance in a recognizable language.

## Deterministic Polynomial Time – Class $\mathcal{P}$

Definition 4.1: We write  $\mathcal{P}$  to denote the class of languages with the following characteristics. A language  $L$  is in  $\mathcal{P}$  if there exists a Turing machine  $M$  and a polynomial  $p(n)$  such that  $M$  recognizes any instance  $I \in L$  in time  $T_M(n)$  with  $T_M(n) \leq p(n)$ , for all  $n \geq 0$ , where  $n$  is an integer representing the size of an instance  $I$ . Then we say that  $L$  is recognizable in polynomial time.

Languages in  $\mathcal{P}$  can always be recognized with a deterministic Turing machine. A deterministic Turing machine outputs a result which is entirely determined by the input to, and the initial state of the machine.

Example: Language DIV3

## The finite state control unit of DIV3

Current state	Symbol on the tape	Next move	New state
$q_0$	0	right	$q_0$
	1	right	$q_1$
	"blank"	"yes" and stop	-
$q_1$	0	right	$q_2$
	1	right	$q_0$
	"blank"	"no" and stop	-
$q_2$	0	right	$q_1$
	1	right	$q_2$
	"blank"	"no" and stop	-

## Polynomial-Time Computational Problems

- The problems in  $\mathcal{P}$  are decisional problems, output is one bit.
- Since Turing machines can also write symbols on the tape, they can handle polynomial-time computational problems.
- E.g., using DIV3 repeatedly a Turing machine can compute base-3 representation of a given non-negative integer  $x$ , and hence a Turing machine can compute division with 3 in time  $C \cdot |x|$ .

# Von Neumann Architecture

- Building blocks: counter, memory, CPU
- Micro-instructions: Load, Store, Add, Comp, Jump, JumpZ, Stop
- Any problem solvable using von Neumann computer in polynomial time, is in  $\mathcal{P}$ .
- Turing machine has a uniform cost measure
- Von Neumann (circuit based) computers have non-uniform cost measure.

# Order notations

Definition 4.2. We write  $\mathcal{O}(f(n))$  to denote a function  $g(n)$  such that there exists a constant  $c > 0$  and a natural number  $N$  with  $|g(n)| \leq c|f(n)|$ , for all  $n \geq N$ .

Bitwise Computation Model: All variables have the values 0 or 1, and the operations used are logical rather than arithmetic:  $\oplus$ ,  $\wedge$ ,  $\vee$ ,  $\neg$

Definition 4.3. We write  $\mathcal{O}_B(f(n))$  to denote  $\mathcal{O}(f(n))$  in the bitwise computation model.

Example. Extended Euclidean Algorithm has time complexity  $\mathcal{O}(|a|)$  (Thm 4.1) and  $\mathcal{O}_B((\log |a|)^3)$  (can be shown using Fibonacci sequences).

## Basic Modular Arithmetic Operations

Operation for $a, b \in [1, n-1]$	Time Complexity
$a \pm b \pmod n$	$\mathcal{O}_B(\log n)$
$a \cdot b \pmod n$	$\mathcal{O}_B((\log n)^2)$
$b^{-1} \pmod n$	$\mathcal{O}_B((\log n)^2)$
$a/b \pmod n$	$\mathcal{O}_B((\log n)^2)$
$a^b \pmod n$	$\mathcal{O}_B((\log n)^3)$

## Probabilistic Polynomial Time - $\mathcal{PP}$

Non-deterministic Turing machines make random moves, and errors become possible. Non-deterministic Turing machine with a bounded error is a probabilistic Turing machine.

Definition 4.5. We write  $\mathcal{PP}$  to denote the class of languages with the following characteristics. A language  $L$  is in  $\mathcal{PP}$  if there exists a probabilistic Turing machine  $PM$  and a polynomial  $p(n)$  such that  $PM$  recognizes any instance  $I \in L$  with certain error probability, which is a random variable of  $PM$ 's random move, in time  $T_{PM}(n)$  with  $T_{PM}(n) \leq p(n)$  for all nonnegative integers  $n$ , where  $n$  is an integer parameter representing the size of the instance  $I$ .

## Error Probabilities

$\text{Prob}[PM \text{ recognizes } I \in L \mid I \in L] \geq \varepsilon$

$\text{Prob}[PM \text{ recognizes } I \in L \mid I \notin L] \leq \delta$

where the probabilities are taken over the random tape  
(random moves) of  $PM$ .

The bounds  $\varepsilon$  and  $\delta$  are constants such that

$\frac{1}{2} < \varepsilon \leq 1$  and  $0 \leq \delta < \frac{1}{2}$ . That is

$\text{Prob}[PM \text{ recognizes } I \notin L \mid I \in L] \leq 1 - \varepsilon < \frac{1}{2}$

$\varepsilon$  is the completeness probability bound ( $1 - \varepsilon$  is the upper bound for probabilities of false rejection)

$\delta$  is the soundness probability bound (that is, the upperbound of probability for false acceptance)

Always fast and always correct -  $ZPP$

$\varepsilon = 1$  and  $\delta = 0$

Example: Searching Through Phone Book

## Always Fast and Probably Correct- $\mathcal{PP}$ (MonteCarlo)

$\varepsilon = 1$  and  $\delta > 0$

Example: Solovay-Strassen primality test

Input:  $p$  a positive integer

$a$ ,  $1 < a < p - 1$ , check if

$$\left(\frac{a}{p}\right) = a^{p-1} \pmod{p}$$

no-biased algorithm: rejection is always correct

## Probably Fast and Always Correct $\mathcal{PP}$ (Las Vegas)

$\varepsilon < 1$  and  $\delta = 0$

May terminate without output, but if there is output it is always correct

Example 1: Finding collisions

Example 2: Quantum Factorization

For any  $N$  composite, the proportion of  $a$ , for which the least integer  $r$  such that  $a^r = 1 \pmod{N}$  is even, is non-negligible. Then one can find non-trivial square roots of 1, which is sufficient to factor  $N$ .

## Probably Fast and Probably Correct $\mathcal{BPP}$

$$\frac{1}{2} + \alpha \leq \varepsilon < 1 \text{ and } 0 < \delta \leq \frac{1}{2} - \beta ,$$

where  $\alpha, \beta \in (0, \frac{1}{2})$

Bounded error probability Probabilistic  
Polynomial time

“Atlantic City Algorithms”

Example: Quantum Key Distribution

## Efficient Algorithms

$$\mathcal{P} \subseteq \mathcal{ZPP} \subseteq \left\{ \begin{array}{l} \mathcal{PP}(\text{Monte Carlo}) \\ \mathcal{PP}(\text{Las Vegas}) \end{array} \right\} \subseteq \mathcal{BPP} \subseteq \mathcal{PP}$$

Definition 4.6: An algorithm is said to be efficient if it is deterministic or randomised with execution time bounded from above by a polynomial in the size of the input.



## Non-deterministic Polynomial Time $NP$

Example: Square-Freeness

Input:  $N$  a positive and odd composite integer

Question: Is  $N$  square-free? Answer YES if there exists no prime  $p$  such that  $p^2|N$ .

Solution: Use witness  $\phi(N)$ . If  $p^2|N$  then  $p | \gcd(N, \phi(N))$

An algorithm to recognize languages in  $NP$  has at each step a finite number of possible moves. The algorithm recognizes  $L$  if there exists at least one sequence of legal moves (recognition sequence) leading to the terminating condition. Such a sequence may be difficult to find, but its existence is can be verified in polynomial time given a witness.

## Complexity hierarchy

$$P \subseteq ZPP, PP(\text{Monte Carlo}) \subseteq NP \subseteq PP$$

Definition 4.10. We say that a language  $L$  is polynomially reducible to another language  $L_0$  if there exists a deterministic polynomially-bounded Turing machine  $M$  which will convert each instance  $I \in L$  into instance  $I_0 \in L_0$ , such that  $I \in L$  if and only if  $I_0 \in L_0$ .

Definition 4.11. A language  $L_0 \in NP$  is  $NP$ -complete if any  $L \in NP$  is polynomially reducible to  $L_0$ .

Example: Satisfiability

## Non-Polynomial Bounds

**Definition 4.12.** A function  $f(n): \mathbf{N} \rightarrow \mathbf{R}$  is said to be unbounded by any polynomial in  $n$  (or, non-polynomially bounded quantity) if for any polynomial  $p(n)$  there exists a natural number  $n_0$  such that  $f(n) > p(n)$ , for all  $n > n_0$ .

**Definition 4.13.** A function  $\varepsilon(n): \mathbf{N} \rightarrow \mathbf{R}$  is said to be a negligible in  $n$  if its inverse  $1/\varepsilon(n)$  is a non-polynomially bounded quantity.

## Polynomial-time Indistinguishability

**Definition 4.14.** Let  $S$  be a set and  $E$  and  $E'$  be subsets of  $S$ . A distinguisher  $\mathcal{D}$  is a probabilistic algorithm, which makes use of  $\ell$  elements  $a \in S$  where  $\ell \leq k$  and which halts in time polynomial in  $k$  with output in  $\{0,1\}$ .  $\mathcal{D}$  satisfies  $\mathcal{D}(a,E) = 1$  if  $a \in E$ , and  $\mathcal{D}(a,E') = 1$  if  $a \in E'$ . Then we say that  $\mathcal{D}$  distinguishes  $E, E'$  with advantage  $\text{Adv}(\mathcal{D}) > 0$ , if

$$\text{Adv}(\mathcal{D}) = |\text{Prob}[\mathcal{D}(a,E) = 1] - \text{Prob}[\mathcal{D}(a,E') = 1]| > 0.$$

**Definition 4.15.** Let sets  $E$  and  $E'$  and security parameter  $k$  be as defined in Definition 4.14. Then  $E, E'$  are said to be polynomially indistinguishable if there exists no distinguisher for  $E, E'$  for which  $\text{Adv}(\mathcal{D}) > 0$  is non-negligible in  $k$  for sufficiently large  $k$ .

## Example: Prime\_Gen( $k$ )

Algorithm 4.7: Random  $k$ -bit Probabilistic Prime Generation

INPUT:  $k$ : a positive integer (input to be written to have size  $k$ )

OUTPUT: a  $k$ -bit random prime

Prime\_Gen( $k$ )

1.  $p \in_{\text{U}}(2^{k-1}, 2^k - 1]$  with  $p$  odd;
2. if Prime\_Test( $p$ ) = NO, return (Prime\_Gen( $k$ ));
3. Return ( $p$ )

Here we make use of Prime\_Test ( $p$ ) which is  $\mathcal{PP}$ (Monte Carlo) with  $\varepsilon = 1$  and  $\delta = 2^{-k}$ . E.g., Solovay-Strassen repeated  $k$  times.

## Prime\_Gen( $k$ )

Prime\_Gen( $k$ ) is an  $\mathcal{PP}$ (Atlantic City) with  $\varepsilon > 1/2$  and  $\delta \cong 2^{-k}$ .

(After  $k$  rounds the probability that the algorithm has halted is at least  $1/2$  as proportion of primes in  $k$ -bit odd numbers is about  $1/k$ )

“input  $k$  to be written to have size  $k$ ”

Unary representation of a number

Definition 4.7. The unary representation of a positive natural number is

$$1^n = \underbrace{111\dots 1}_{n \text{ times}}$$