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	1. LEVEL NUMBERS AND STABILITY
Lecture 12: Translation into Propositional Logic	The <i>tightness</i> condition for a normal program P and a supported model $M \models \text{Comp}(P)$ involves a function $\lambda : M \to \mathbb{N}$ such that $\lambda(B) < \lambda(a)$
	for every rule $a \leftarrow B \in P^M$ such that $B \subseteq M$.
 Level numbers and stability Translation into atomic programs 	Note that $a \leftarrow B \in P^M$ and $B \subseteq M$ imply that there is a supporting rule $a \leftarrow B$, $\sim C \in \text{SuppR}(P,M)$ for $a \in M$.
3. Reachability benchmark	► However, the function λ above is not unique. E.g., the function $\lambda'(a) = \lambda(a) + 1$ satisfies this condition whenever λ does.
	► In the sequel, we provide sufficient conditions for a unique <i>level</i> numbering $\lambda: M \to \mathbb{N}$ that captures the stability of <i>M</i> .
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© 2007 TKK / TCS T-79.5102 / Autumn 2007 Translation into propositional logic 2 Motivation	© 2007 TKK / TCS T-79.5102 / Autumn 2007 Translation into propositional logic Level Numberings Definition. Let M be a supported model of a normal program P . A function $\lambda: M \to \mathbb{N}$ is a <i>level numbering</i> for M iff for all $a \in M$,
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 © 2007 TKK / TCS T-79.5102 / Autumn 2007 Translation into propositional logic 2 Motivation The goal is to combine the knowledge representation capabilities of normal programs with the efficiency of SAT solvers. To realize this setting, we provide a <i>faithful</i> and <i>polynomial-time</i> translation Tr_{AT} from normal programs into <i>atomic programs</i> having rules of the form a ← ~C only. Such a transformation is inherently <i>non-modular</i> but Tr_{AT}(P) is 	© 2007 TKK / TCS T-79.5102 / Autumn 2007 Translation into propositional logic Level Numberings Definition. Let M be a supported model of a normal program P . A function $\lambda : M \to \mathbb{N}$ is a <i>level numbering</i> for M iff for all $a \in M$, $\lambda(a) = \min\{\lambda(B) \mid a \leftarrow B, \sim C \in \operatorname{SuppR}(P,M)\}$ where $\lambda(B) = \max\{\lambda(b) \mid b \in B\} + 1$, and in particular, $\lambda(0) = 1$. Example. Consider a positive normal program $P = \{a \leftarrow b. \ b \leftarrow a. \}$ and its supported models $M_1 = \emptyset$ and $M_2 = \{a, b\}$:
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 (c) 2007 TKK / TCS T-79.5102 / Autumn 2007 Translation into propositional logic 2 Interface Interface<	 © 2007 TKK / TCS T-79.5102 / Autumn 2007 Translation into propositional logic Level Numberings Definition. Let M be a supported model of a normal program P. A function λ: M → N is a level numbering for M iff for all a ∈ M, λ(a) = min{λ(B) a ← B, ~C ∈ SuppR(P,M)} where λ(B) = max{λ(b) b ∈ B} + 1, and in particular, λ(0) = 1. Example. Consider a positive normal program P = {a ← b. b ← a. } and its supported models M₁ = 0 and M₂ = {a,b}: 1. There is a trivial level numbering λ₁ : M₁ → N for M₁. 2. The requirements for a level numbering λ₂ : M₂ → N are: λ₂(a) = λ₂(b) + 1 and λ₂(b) = λ₂(a) + 1.

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Proposition. If P is a normal program, $M \in \text{SuppM}(P)$ a supported model, and λ is a level numbering for M, then $M \in \text{SM}(P)$.

Proof. To prove the critical half $M \subseteq LM(P^M)$ of stability, it is shown by induction on $\lambda(a)$ that $a \in M$ implies $a \in LM(P^M)$.

- 1. If $a \in M$ has the smallest value n of $\lambda(a)$, we have $\lambda(a) = \lambda(B)$ for some $a \leftarrow B$, $\sim C \in \text{SuppR}(P,M)$. The definition of $\lambda(B)$ implies $B = \emptyset$ and $\lambda(a) = n = 1$. Thus a is a fact in P^M and $a \in \text{LM}(P^M)$.
- 2. For $a \in M$ such that $\lambda(a) > 1$, we note that $\lambda(a) = \lambda(B)$ for some $a \leftarrow B, \sim C \in \text{SuppR}(P,M)$. It follows that $a \leftarrow B \in P^M$ and $M \models B$. The definition of $\lambda(B)$ implies $\lambda(b) < \lambda(a)$ for every $b \in B$. Thus $B \subseteq \text{LM}(P^M)$ by the inductive hypothesis and $a \in \text{LM}(P^M)$ holds since $a \leftarrow B \in P^M$.

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Properties of Level Numberings (II)

Proposition. A level numbering λ for $M \in \text{SuppM}(P)$ is unique.

Proof. Suppose that λ is not unique, i.e., there is a different level numbering λ' for M. We prove by induction on $\lambda(a)$ that $\lambda'(a) = \lambda(a)$.

- 1. Suppose that $\lambda(a) = 1$. It follows that $\lambda(B) = 1$ for some $a \leftarrow B, \sim C \in \text{SuppR}(P,M)$. Thus $B = \emptyset$ must be the case, and $\lambda'(B) = 1$ and $\lambda'(a) = 1$ by the definition of level numberings.
- 2. Then assume $\lambda(a) > 1$. The definition of $\lambda(a)$ implies that $\lambda(a) = \lambda(B)$ for some rule $a \leftarrow B$, $\sim C \in \text{SuppR}(P,M)$. Since $\lambda(b) < \lambda(a)$ for each $b \in B$ by definition, we obtain $\lambda'(B) = \lambda(B)$ by the inductive hypothesis. Thus $\lambda'(a) \le \lambda(a)$. Assuming $\lambda'(a) < \lambda(a)$ suggests a rule $a \leftarrow B'$, $\sim C' \in \text{SuppR}(P,M)$ with $\lambda'(B') < \lambda'(B)$ and $\lambda(B') = \lambda'(B') < \lambda(a)$, a contradiction. \Box

Assigning Level Numbers to Atoms

- ➤ A concrete level numbering can be obtained from the construction of the *least model* LM(P) for a positive program P.
- ➤ Recall that if P is finite, then $lfp(T_P) = T_P \uparrow i$ for some $i \in \mathbb{N}$ where the operator T_P is defined by

$$\mathrm{T}_P(A) = \{ a \mid a \leftarrow B \in P \text{ and } B \subseteq A \}.$$

Definition. The *level number* #*a* of an atom $a \in LM(P)$ is the least number $n \in \mathbb{N}$ such that $a \in (T_P \uparrow n) \setminus (T_P \uparrow n - 1)$.

Example. For a positive program consisting of

a.
$$a \leftarrow c$$
. $b \leftarrow a$. $c \leftarrow a, b$. $d \leftarrow d, c$.

we have $LM(P) = \{a, b, c\}$ and the corresponding level numbers are #a = 1, #b = 2, and #c = 3. The number #d is undefined $(d \notin LM(P))$.

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Properties of Level Numberings (III)

Proposition. If P is a normal program and $M \in SM(P)$, then #: $M \to \mathbb{N}$ as defined for $M = LM(P^M)$ is a level numbering for M.

- **Proof.** Now $M = lfp(T_{P^M})$ since $M \in SM(P)$.
- (i) We define $M_i = \mathrm{T}_{P^M} \uparrow i$ for $i \geq 0$.
- (ii) Then the level number #a of an atom $a \in M = LM(P^M)$ is the least number $i \in \mathbb{N}$ such that $a \in M_i \setminus M_{i-1}$ by definition.
- (iii) Next we prove by induction on *i* that for each $a \in M_i$,

 $#a = \min\{#B \mid a \leftarrow B, \sim C \in \operatorname{SuppR}(P, M)\}$

where $\#B = \max\{\#b \mid b \in B\} + 1$.

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Proof by Induction

The base case i = 0 is trivial, since $M_0 = \emptyset$.

Then consider any $a \in M_i$ when i > 0. The case $a \in M_{i-1}$ is covered by inductive hypothesis, so let $a \in M_i \setminus M_{i-1}$. It follows that #a = i > 0.

- 1. Now there is $a \leftarrow B, \sim C \in \text{SuppR}(P, M)$ such that $a \leftarrow B \in P^M$ and $B \subseteq M_{i-1}$. Thus $\#B = \max{\#b \mid b \in B} + 1 \le i$.
- 2. Assuming #B < i implies #b < i-1 for all $b \in B$, $B \subseteq M_{i-2}$, and $a \in M_{i-1}$, a contradiction. Hence #B = i.
- 3. Thus $m_a = \min\{\#B \mid a \leftarrow B, \sim C \in \operatorname{SuppR}(P,M)\} \le i = \#a$.
- 4. Assuming $m_a < i$ implies #B' < i for some other supporting rule $a \leftarrow B', \sim C' \in \text{SuppR}(P,M)$ and $a \in M_{i-1}$, a contradiction.

It follows that $m_a = i = #a$ as was to be shown.

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Characterization of Stable Models

Theorem. For a normal logic program P and an interpretation $M \subseteq Hb(P)$, $M \in SM(P)$ if and only if

 $M \in \operatorname{SuppM}(P)$ and there is a level numbering λ for M.

Example. Recall the supported models $M_1 = \emptyset$ and $M_2 = \{a, b\}$ of the normal program $P = \{a \leftarrow b, b \leftarrow a\}$.

- 1. Now M_1 is stable since $\#_1: M_1 \to \mathbb{N}$ is trivially a level numbering.
- 2. The model M_2 is not stable because the set of equations

$$\left\{ \begin{array}{l} \#_2(a) = \#_2(b) + 1 \\ \#_2(b) = \#_2(a) + 1 \end{array} \right.$$

for a level numbering $\#_2$ has no solution.

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2. TRANSLATION INTO ATOMIC PROGRAMS

► An atomic normal program $Tr_{AT}(P) =$

 $\operatorname{Tr}_{\operatorname{SUPP}}(P) \cup \operatorname{Tr}_{\operatorname{CTR}}(P) \cup \operatorname{Tr}_{\operatorname{MAX}}(P) \cup \operatorname{Tr}_{\operatorname{MIN}}(P)$

is utilized as an intermediary representation.

- ► Level numbers have to be captured using *binary counters* which are represented by vectors $c[1...n] = c_1,...,c_n$ of propositional atoms.
- ➤ The logarithm $\nabla P = \lceil \log_2(|Hb(P)| + 2) \rceil$ gives an upper bound for the number of bits needed in such counters.
- A number of primitive operations involving binary counters of n bits are formalized as subprograms to be described next.

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Primitives for Binary Counters
1. The program $\operatorname{SEL}(c[1 \dots n])$ selects a value for $c[1 \dots n]$:
$c_1 \leftarrow \overline{c_1}$. $\overline{c_1} \leftarrow \overline{c_1}$ $c_n \leftarrow \overline{c_n}$. $\overline{c_n} \leftarrow \overline{c_n}$.
2. The program $NXT(c[1n], d[1n])$ sets the value of $d[1n]$ as the successor of the value of $c[1n]$ in binary representation.
3. The program $FIX(c[1n], v)$ sets a fixed value v for $c[1n]$.
4. The program $LT(c[1n], d[1n])$ checks whether the value of $c[1n]$ is lower than that of $d[1n]$.
5. The program $EQ(c[1n], d[1n])$ tests whether the values of $c[1n]$ and $d[1n]$ are the same.
Remark. The activation of these primitives can be controlled with

additional negative conditions.

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 $M \in \text{Supp}M(P)$ if and only if

belongs to $SM(Tr_{SUPP}(P))$.

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 $N = M \cup \{\overline{a} \mid a \in \operatorname{Hb}(P) \setminus M\} \cup$



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Translation $Tr_{MAX}(P)$



$$\operatorname{SEL}(a[1\dots\nabla P], \sim \overline{a})$$
 and $\operatorname{NXT}(a[1\dots\nabla P], \operatorname{nxt}(a)[1\dots\nabla P], \sim \overline{a})$.

A rule $r = a \leftarrow B, \sim C \in P$ is translated into a subprogram

$$\begin{split} & \operatorname{FIX}(\operatorname{ctr}(r)[1\ldots \nabla P], 1, \sim \overline{\operatorname{bt}(r)}), \quad \text{if } B = \emptyset, \text{ and} \\ & \operatorname{SEL}(\operatorname{ctr}(r)[1\ldots \nabla P], \sim \overline{\operatorname{bt}(r)}), \quad \text{ otherwise.} \end{split}$$

Let $\text{Ext}(a[1...\nabla P], v)$ be the resulting set of true atoms describing the bit statuses of $a[1...\nabla P]$ when the counter has a value $0 \le v < 2^{\nabla P}$.

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 $\{\perp \leftarrow \sim \overline{\mathsf{bt}(r)}, \sim \overline{\mathsf{lt}(\mathsf{ctr}(r), a)}\} \cup$

Moreover, an atom $a \in Hb(P)$ is translated into $\bot \leftarrow \overline{a}, \sim \min(a)$.

Remark. The intuitive reading of min(a) is that the value of

 $a[1...\nabla P]$ equals to the intended minimum.

 $\{\min(a) \leftarrow \sim \overline{\mathsf{bt}(r)}, \sim \overline{\mathsf{eq}}(\mathsf{ctr}(r), a)\}$

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Number of Vertices	1	2	3	4	5
smodels	0.004	0.003	0.003	0.033	12
cmodels	0.031	0.030	0.124	293	-
lp2atomic+smodels	0.004	0.008	0.013	0.393	353
lp2sat+chaff	0.011	0.009	0.023	1.670	-
lp2sat+relsat	0.004	0.005	0.018	0.657	1879
wf+lp2sat+relsat	0.009	0.013	0.018	0.562	1598
Models	1	1	18	1606	565080
SCCs S with $ S > 1$	0	0	3	4	5
Rules (lparse)	3	14	39	84	155
Rules (lp2atomic)	3	18	240	664	1920
Clauses (lp2sat)	4	36	818	2386	7642
Clauses (wf+lp2sat)	2	10	553	1677	5971

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Computing Or	nly One	Solut	ion	
Number of Vertices	8	9	10	
smodels	0.009	0.013	0.022	
cmodels	0.046	0.042	0.055	
lp2atomic+smodels	$>10^{4}$	$> 10^{4}$	$> 10^{4}$	
lp2sat+chaff	0.771	32.6	254	
lp2sat+relsat	2.51	$> 10^{4}$	$> 10^{4}$	
wf+lp2sat+relsat	2.80	4830	>10 ⁴	
assat	0.023	0.028	0.037	



- ➤ You are aware of SAT solvers as potential search engines for ASP and know some systems based on this architecture:
 - 1 assat: http://assat.cs.ust.hk/
 - 2. cmodels: http://www.cs.utexas.edu/users/tag/cmodels/
 - 3 lp2sat: http://www.tcs.hut.fi/Software/lp2sat/
- ➤ You have tried out one of the SAT-based ASP solvers in practice.
- > You know that there is a faithful and polynomial time transformation from normal programs into propositional logic.
- > You are able to identify the effects of the major sources of non-modularity in the transformation.

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T-79.5102 / Autumn 2007 Translation into propositional logic TIME TO PONDER Recall the characterization of a stable model $M \in SM(P)$ in terms of a level numbering $#: M \to \mathbb{N}$. Can you think of any optimizations of $Tr_{AT}(P)$, e.g., when the normal program P under consideration \blacktriangleright contains only binary rules of the form $a \leftarrow B, \sim C$ where |B| < 2, ▶ contains only *unary rules* of the form $a \leftarrow B$, $\sim C$ where $|B| \le 1$, or \blacktriangleright contains only *atomic rules* of the form $a \leftarrow \sim C$? Do syntactic restrictions of this kind essentially reduce the expressive power of normal programs?

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