T-79.503 Fundamentals of Cryptology Additional material (Handout 3) for lectures October 15-22, 2003

1 Euler Phi-Function

In section 1.1.3 of the text-book, Definition 1.3, the Euler phi-function is defined as follows.

Definition 1.3 (Stinson) Suppose $a \ge 1$ and $m \ge 2$ are integers. If gcd(a, m) = 1 then we say that a and m are relatively prime. The number of integers in \mathbb{Z}_m that are relatively prime to m is denoted by $\phi(m)$.

We set $\phi(1) = 1$. The function

$$m \mapsto \phi(m), \ m \ge 1$$

is called the Euler phi-function, or Euler totient function. Clearly, for m prime, we have $\phi(m) = m - 1$. Further, we state the following fact without proof, and leave the proof as an easy exercise.

Fact. If m is a prime power, say, $m = p^e$, where p is prime and p > 1, then $\phi(m) = m(1 - \frac{1}{p}) = p^e - p^{e-1}$.

The main purpose of this section is to prove the multiplicative property of the Euler phi-function.

Proposition. Suppose that $m \ge 1$ and $n \ge 1$ are integers such that gcd(m, n) = 1. Then $\phi(m \times n) = \phi(m) \times \phi(n)$.

Proof. If m = 1 or n = 1, then the claim holds. Suppose now that m > 1 and n > 1, and denote:

$$A = \{a \mid 1 \le a < m, \ \gcd(a, m) = 1\}$$

$$B = \{b \mid 1 \le b < n, \ \gcd(b, n) = 1\}$$

$$C = \{c \mid 1 \le c < m \times n, \ \gcd(c, m \times n) = 1\}.$$

Then we have that $|A| = \phi(m)$, $|B| = \phi(n)$, and $|C| = \phi(m \times n)$. We show that C has equally many elements as the set $A \times B = \{(a, b) | a \in A, b \in B\}$, from which the claim follows.

Since gcd(m, n) = 1, we can use the Chinese Remainder Theorem, by which the mapping

$$\pi: \mathbb{Z}_{mn} \to \mathbb{Z}_m \times \mathbb{Z}_n, \, \pi(x) = (x \bmod m, x \bmod n)$$

is bijective. Now we observe that $A \subset \mathbb{Z}_m$, $B \subset \mathbb{Z}_n$, and $C \subset \mathbb{Z}_{m \times n}$. Moreover, it holds that $x \in C$ if and only if $\pi(x) \in A \times B$, which we see by writing the following chain of equivalences:

$$gcd(x, m \times n) = 1 \iff gcd(x, m) = 1 \text{ and } gcd(x, n) = 1$$
$$\Leftrightarrow gcd(x \mod m, m) = 1 \text{ and } gcd(x \mod n, n) = 1.$$

As a corollary, we get Theorem 1.2 of the textbook.

Theorem 1.2 Suppose

$$m = \prod_{i=1}^{k} p_i^{e_i},$$

where the integers p_i are distinct primes and $e_i > 0, 1 \le i \le k$. Then

$$\phi(m) = \prod_{i=1}^{k} (p_i^{e_i} - p_i^{e_i-1}).$$

2 Algebraic Normal Form of a Boolean function

Let us now consider a function $f : \mathbb{Z}_2^n \to \mathbb{Z}_2$. Such a function is called a Boolean function of *n* variables. A Boolean function of *n* variables x_1, \ldots, x_n has a unique representation in its algebraic normal form

$$g(x_1, \dots, x_n) = a_0 \oplus a_1 x_1 \oplus \dots \oplus a_n x_n \oplus a_{12} x_1 x_2 \oplus \dots$$
$$\dots \oplus a_{(n-1)n} x_{n-1} x_n \oplus a_{123} x_1 x_2 x_3 \oplus \dots \oplus a_{12\dots n} x_1 x_2 \cdots x_n.$$

with coefficients $a_{i_1,\ldots,i_k} \in \mathbb{Z}_2$.

Given the values of the function f, its algebraic normal form ANF(f) can be derived using the following algorithm:

ANF Algorithm.

- 1. Set $g(x_1, \ldots, x_n) = f(0, 0, \ldots, 0)$
- 2. For k = 1 to $2^n 1$, do

3. use the binary representation of the integer k,

$$k = b_1 + b_2 2 + b_3 2^2 + \dots + b_n 2^{n-1}$$

4. if
$$g(b_1, b_2, \dots, b_n) \neq f(b_1, b_2, \dots, b_n)$$
 then
set $g(x_1, \dots, x_n) = g(x_1, \dots, x_n) \oplus \prod_{i=1}^n (x_i)^{b_i}$

5.
$$ANF(f) = g(x_1, ..., x_n)$$

Example 4.

k	b_3	b_2	b_1	$f(b_1, b_2, b_3)$	$g(b_1,b_2,b_3)$
	0	0	0	0	0
1	0	0	1	0	0
2	0	1	0	1	x_2
3	0	1	1	0	$x_2 \oplus x_1 x_2$
4	1	0	0	1	$x_2 \oplus x_1 x_2 \oplus x_3$
5	1	0	1	1	$x_2 \oplus x_1 x_2 \oplus x_3$
6	1	1	0	0	$x_2 \oplus x_1 x_2 \oplus x_3$
7	1	1	1	1	$x_2 \oplus x_1 x_2 \oplus x_3$

Now the values of f given in the third column of the table can also be calculated from the expression $f(x_1, x_2, x_3) = x_2 \oplus x_3 \oplus x_1 x_2$.

3 Non-linearity of Boolean Functions

3.1 Correlations

Let $x = (x_1, \ldots, x_m) \in \mathbb{Z}_2^m$. The Hamming weight of x is defined as

$$H_W(x) = |\{i \in \{1, 2, \dots, m\} | x_i = 1\}|.$$

For two vectors $x = (x_1, \ldots, x_m) \in \mathbb{Z}_2^m$ and $y = (y_1, \ldots, y_m) \in \mathbb{Z}_2^m$ the Hamming distance is defined as

$$d_H(x,y) = H_W(x \oplus y) = |\{i \in \{1, 2, \dots, m\} \mid x_i \neq y_i\}|.$$

Given two Boolean functions $f : \mathbb{Z}_2^n \to \mathbb{Z}_2$ and $g : \mathbb{Z}_2^n \to \mathbb{Z}_2$ the Hamming weight of f is defined as

$$H_W(f) = |\{x \in \mathbb{Z}_2^n \,|\, f(x) = 1\}|,\$$

and the Hamming distance between f and g is

$$d_H(f,g) = |\{x \in \mathbb{Z}_2^n \,|\, f(x) \neq g(x)\}|.$$

A Boolean function $f : \mathbb{Z}_2^n \to \mathbb{Z}_2$ is balanced if $H_W(f) = 2^{n-1}$, which happens if and only if

$$|\{x \in \mathbb{Z}_2^n \,|\, f(x) = 1\}| = |\{x \in \mathbb{Z}_2^n \,|\, f(x) = 0\}|.$$

Example 5. Let $f_{00} : \mathbb{Z}_2^4 \to \mathbb{Z}_2$ be the Boolean function defined as the first outputbit of the s-box S_1 of the DES, when the first and the last (sixth) input bits are set equal to zero. Then f_{00} has the following values

$$f_{00} = (1, 0, 1, 0, 0, 1, 1, 1, 0, 1, 0, 1, 0, 1, 0, 0)$$

arranged in the *lexicographical order* with respect to the input (x_2, x_3, x_4, x_5) . Clearly, f_{00} is balanced, that is, $H_W(f_{00}) = 8$. Further we see that

$$d_H(f_{00}, s_5) = 6$$
, and $d_H(f_{00}, s_2) = 10$,

where we have denoted by s_i the *i*th input bit to S_1 as a Boolean function of the four middle input bits. That is, $s_i(x_2, x_3, x_4, x_5) = x_i$, for i = 2, 3, 4, 5.

Let $f : \mathbb{Z}_2^n \to \mathbb{Z}_2$ and $g : \mathbb{Z}_2^n \to \mathbb{Z}_2$ be two Boolean functions. The *correlation* between f and g is defined as

$$c(f,g) = 2^{-n}(|\{x \in \mathbb{Z}_2^n \mid f(x) = g(x)\}| - |\{x \in \mathbb{Z}_2^n \mid f(x) \neq g(x)\}|)$$

= 2⁻ⁿ(2ⁿ - 2|\{x \in \mathbb{Z}_2^n \mid f(x) \neq g(x)\}|) = 1 - 2^{1-n}d_H(f,g).

A Boolean function $f: \mathbb{Z}_2^n \to \mathbb{Z}_2$ is *linear* if it has an ANF of the form

$$f(x) = a \cdot x = a_1 x_1 \oplus a_2 x_2 \oplus \dots \oplus a_n x_n$$

for some $a = (a_1, a_2, \ldots, a_n) \in \mathbb{Z}_2^n$. Then f is just a linear combination of its input bits. In such a case we denote $f = L_a$. A Boolean function is *affine* if it has an ANF of the form $f(x) = a \cdot x \oplus 1$. *Nonlinearity* of a Boolean function $f : \mathbb{Z}_2^n \to \mathbb{Z}_2$ is defined as its minimum distance from the set consisting all affine and linear Boolean functions

$$\mathcal{N}(f) = \min_{L \text{ linear}} \{ \min\{d_H(f, L), d_H(f, L \oplus 1) \} \}.$$

Example 5(continued)

From $d_H(f_{00}, s_5) = 6$ and $d_H(f_{00}, s_2) = 10$, it follows that the nonlinearity of f is at most 6. Further we see that

$$c(f_{00}, s_5) = 1 - \frac{1}{8} \cdot 6 = \frac{1}{4}$$
, and
 $c(f_{00}, s_2) = 1 - \frac{10}{8} = -\frac{1}{4}$.