## Basic Problems and Protocols Parallel and Distributed Systems

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Jukka Viinamäki Basic Problems and Protocols

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#### Introduction

Properties of Basic Protocols

#### Broadcast

The Problem Cost of Broadcasting Broadcasting in Special Networks

#### Wake-Up

The Problem

Generic Wake-Up Wake-Up in Special Networks Traversal The Problem Depth-First Traversal Hacking (Optimization) Traversal in Special Networks Practical Implications Subnets

**Properties of Basic Protocols** 

#### Basic Problems and Protocols

Properties of basic protocols

- Basic: Commonly required for the functioning of the system
- Primitive: Often a module of more complex protocols
- Assume standard restrictions R = {BL, CN, TR}

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The Problem Cost of Broadcasting Broadcasting in Special Networks

### Broadcast: The Problem

- Consider a distributed computing system where only one entity, x, knows some important information; this entity would like to share this information with all the other entities in the system. This problem is called broadcasting (Bcast)
- Inherent in the problem is a new restriction: Unique Initiator with the information, UI+

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#### Flooding revisited

```
Status Values: S = \{INITIATOR, IDLE, DONE\}
S_{INIT} = \{INITIATOR, IDLE\}
S_{TERM} = \{DONE\}
Restrictions: BL, TR, CN, UI
```

```
INITIATOR
Spontaneously
begin
send(M) to N(x);
become DONE;
end
```

```
IDLE
Receiving(M)
begin
send(M) to N(x) - {sender};
become DONE;
end
```

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The Problem Cost of Broadcasting Broadcasting in Special Networks

## Problem Complexity

- Flooding uses O(m) messages and has worst case ideal time of O(n)
- But what is the complexity of the broadcasting problem?
- Establish a lower bound f, such that the cost of each solution is at least f
- The problem complexity is irrespective of the solution algorithm

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## Worst case ideal time complexity

- Measuring ideal time complexity, assume Unitary Transmission Delays and Synchronized Clocks
- ► In a graph G = (V, E), we have

 $T(\mathsf{Bcast}/\mathsf{RI+}) \ge max(d(x,y):x,y \in V) = d$ 

• Here RI+ denotes  $R \cup UI+$ 

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## Worst case ideal time complexity

- Flooding performs broadcast in d ideal time units
- The lower bound is tight, i.e. it can be achieved
- Flooding is thereby time optimal

The ideal time complexity of **Bcast** under RI+ is  $\Theta(d)$ 

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## Message complexity

- We see that  $M(\mathsf{Bcast}/\mathsf{RI}+) \ge n-1$
- ► We can, however, determine a more accurate lower bound
- ► Theorem 2.1.1:

 $M(\text{Bcast}/\text{RI}+) \ge m$ 

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# Message complexity

- Flooding solves broadcast with 2m n + 1 messages
- This implies that  $M(Bcast/RI+) \le 2m n + 1$
- Combining with Theorem 2.1.1, we have

The message complexity of broadcasting under RI + is  $\Theta(m)$ 

- Flooding is message optimal solution
- Improvements can affect only the constant factor; by Theorem 2.1.1 this cannot be reduced below 1

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## Broadcasting in Special Networks

- We have so far considered algorithms that are applicable to any network
- Recall that R contains the constraint CN, however
- We now explore algorithms that exploit structural knowledge of some special networks
- These algorithms are often more efficient, but lose generic applicability

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## Broadcasting in Trees

- A tree is a graph that is connected and contains no cycles
- We know now that m = n 1
- ► Hence the cost of Flooding is now 2m n + 1 = 2(n 1) (n 1) = n 1
- Note that this is true even when the entities don't know the network is a tree
- An interesting side effect is that the network becomes rooted in the initiator

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## Broadcasting in Oriented Hypercubes

- An oriented hypercube H<sub>k</sub> of dimension k = 1 is just a pair of nodes called "0" and "1", connected by a link labeled "1"
- An oriented hypercube  $H_k$  of dimension k > 1 is obtained by taking two hypercubes of dimension k 1, namely  $H'_{k-1}$  and  $H''_{k-1}$ , and connecting the nodes with same names with a link labeled k
- ► The name of each node in H'<sub>k-1</sub> (respectively H''<sub>k-1</sub>) is then modified by prefixing it with the bit "0" (respectively "1")

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## Broadcasting in Oriented Hypercubes



A hypercube  $H_k$  of dimension k has the following properties:

- Number of nodes n = 2<sup>k</sup>
- Each node has exactly k links
- ▶ Number of links  $m = nk/2 = (n/2) \log n = O(n \log n)$

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## Broadcasting in Oriented Hypercubes

- Flooding costs  $2m - n + 1 = n \log n - n + 1 = n \log n/2 + 1 = O(n \log n)$ messages
- However, hypercubes are highly structured networks, and we can exploit this knowledge to create a more efficient protocol

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## Broadcasting in Oriented Hypercubes

Strategy HyperFlood:

- 1. The initiator sends the information to all its neighbors
- 2. A node receiving a message on a link labeled l will only send messages to links with label l' < l

HyperFlood costs:

- **T**[HyperFlood/ $H_k$ ] = k
- $M[HyperFlood/H_k] = n 1$

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## Broadcasting in Oriented Hypercubes

Summarizing, we have:

The ideal time complexity of broadcasting in a k-dimensional hypercube with a dimensional labeling under RI+ is  $\Theta(k)$ .

The message complexity of broadcasting in a k-dimensional hypercube with a dimensional labeling under RI+ us  $\Theta(n)$ .

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## Broadcasting in Complete Graphs

- A complete graph is a graph where every node is directly connected to every other node
- As there are m = n(n-1)/2 links, Flooding would require  $O(n^2)$  messages

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## Broadcasting in Complete Graphs

Strategy KBcast:

1. The initiator sends the information to all its neighbors

The ideal time complexity of broadcasting in a complete graph under RI+ is  $\Theta(1)$ .

The message complexity of broadcasting in a complete graph under RI+ is  $\Theta(n)$ .

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The Problem Generic Wake-Up Wake-Up in Special Networks

## Wake-Up: The Problem

- Consider a distributed computing system, where a task must be performed in which all the entities must be involved; however, only some of them are independently active and ready to compute
- To perform the task, we must ensure that all entities become active. This problem is called Wake-Up
- Broadcast is a special case of Wake-Up where there is only one initiator

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The Problem Generic Wake-Up Wake-Up in Special Networks

## Flooding for Wake-Up

```
 \begin{array}{l} \mbox{Status Values: } S = \{\mbox{ASLEEP, AWAKE}\} \\ S_{\mbox{Init}} = \{\mbox{ASLEEP}\} \\ S_{\mbox{Term}} = \{\mbox{AWAKE}\} \\ Restrictions: $\mathbf{R}$ \end{array}
```

```
ASLEEP
Spontaneously
begin
send(W) to N(x);
become AWAKE;
end
```

```
Receiving(W)
begin
send(W) to N(x) - {sender};
become AWAKE;
end
```

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The Problem Generic Wake-Up Wake-Up in Special Networks

## Flooding for Wake-Up

- The flooding strategy solves the more generic Wake-Up problem
- ▶ For message cost, we have  $2m \ge M$ [\vee Flood]  $\ge 2m n + 1$
- ► Message complexity is usually higher than Bcast: M(Wake-Up/R) ≥ M(Bcast/RI+)
- ► But ideal time complexity usually less:  $T(Wake-Up/R) \le T(Bcast/RI+)$

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The Problem Generic Wake-Up Wake-Up in Special Networks

## Flooding for Wake-Up

Summarizing the complexity of generic Wake-Up:

The ideal time complexity of Wake-Up under **R** is  $\Theta(d)$ .

The message complexity of Wake-Up under **R** is  $\Theta(m)$ .

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## Wake-Up in Trees

- Message cost depends on the number of initiators, denoted  $k_{\star}$
- Note that k<sub>⋆</sub> is not a system parameter (but is bounded by one: k<sub>⋆</sub> ≤ n)
- $M[WFlood/Tree] = n + k_{\star} 2$

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## Wake-Up in Labeled Hypercubes

- With BCast, we managed to create a solution (HyperFlood) for hypercubes that had message cost O(k)
- Unfortunately, with multiple initiators this is not possible
- We might as well just use WFlood, which uses O(n log n) messages

The message complexity of Wake-Up under **R** in a k-dimensional hypercube with dimensional labeling is  $\Theta(n \log n)$ 

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## Wake-Up in Complete Graphs

- WFlood message cost is  $O(n^2)$  in complete graphs
- KBcast would use  $k_\star(n-1)$  messages
- This is again the best we can do, and we have

The message complexity of Wake-Up in a complete graph under R is  $\Theta(n^2)$ .

The Problem Generic Wake-Up Wake-Up in Special Networks

## Wake-Up in Complete Graphs with ID

- To improve performance, we further assume the restriction Initial Distinct values (ID)
- This means each entity has a unique name
- Under these restrictions, algorithms have been devised that solve Wake-Up with O(n log n) messages
- These algorithms solve also the much more complex problem of *Election*

The Problem Depth-First Traversal Hacking (Optimization) Traversal in Special Networks

## Traversal: The Problem

- Consider a distributed computing system, where each of the entities must perform some action, but in such a manner that at any given time only one entity at a time is active. This problem is called Traversal.
- Traversal is a sequential protocol
- Traversal is solved by letting a *traversal token* (or just token) to reach every entity sequentially
- Once a node has been reached, it is marked as "visited"

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Depth-First Traversal (DFT)

Strategy DFTraversal:

- 1. When first visited, an entity remembers who sent the token, creates a list of all its still unvisited neighbors, forwards the token to one of them (removing it from the list), and waits for for its reply return the token
- 2. When the neighbor receives the token, it will return the token immeadiately if it has been already visited by somebody else, notifying that the link is a backedge; otherwise, it will first forward the token to each of its unvisited neighbors sequentially, and then reply returning the token

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The Problem Depth-First Traversal Hacking (Optimization) Traversal in Special Networks

## Depth-First Traversal

- 3. Upon the reception of the reply, the entity forwards the token to another unvisited neighbor
- 4. Should there be no more unvisited neighbors, the entity can no longer forward the token; it will then send the reply returning the token to the node from which it first received it

The Problem Depth-First Traversal Hacking (Optimization) Traversal in Special Networks

## Depth-First Traversal

- There are three different message types: T, Return and Backedge
- On each edge, exactly two messages are transmitted during the protocol
- Recall that traversal is sequential, hence we have

T[DFTraversal] = M[DFTraversal] = 2m

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## Complexity of Depth-First Traversal

► Theorem 2.3.1 and Theorem 2.3.2:

 $M(\mathsf{DFT}/\mathsf{R}) \ge m$  $T(\mathsf{DFT}/\mathsf{R}) \ge n-1$ 

- DFTraversal, with message cost 2m is message optimal
- ▶ But the worst case ideal time complexity of 2m is no good; 2m could be several order of magnitude higher than the DFT lower bound n − 1
- For example, in complete graphs  $m = n^2 n$

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# Hacking (Protocol Optimization)

- Since the time cost of DFTraversal is far from optimal, measures have been taken to develop optimized protocols that would achieve depth-first traversal faster
- When measuring ideal time, only synchronous execution need be considered; however, this is not the case when considering algorithm correctness
- To improve time usage, we must either reduce the number of messages or introduce some concurrency

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# Hacking (Protocol Optimization)

Basic Hacking ("DF+")

- Idea: Avoid sending messages to back-edges
- Solution: Notify neighbors upon visit and wait for acknowledgement

**T**[DF+] = 
$$4n - 2$$
, **M**[DF+] =  $4m$ 

Advanced Hacking ("DF++")

- Idea: Avoid sending acknowledgement messages
- Solution: The protocol is still correct, but errant T messages occur

► 
$$T[DF++] = 2n - n$$
,  $M[DF++] \le 4m - n + 1$ 

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# Hacking (Protocol Optimization)

Extreme Hacking ("DF\*")

- Idea: Use T message as implicit "visited" notification
- Solution: Saves a few messages and some time units
- T[DF\*] = 2n 2,  $M[DF*] = 4m 2n + f_* + 1$

The ideal time complexity of depth-first traversal under **R** is  $\Theta(n)$ .

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#### Traversal in Trees

- In trees, there are no backedges, which helps
- M[DFTraversal] = T[DFTraversal] = 2n 2
- As a side effect, traversal constructs a so-called virtual ring of the nodes

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## Traversal in Rings

- A ring is a graph where every node has exactly two neighbors
- Depth-first traversal is achieved by simply selecting a direction on the ring
- Message cost and ideal time are both n for this algorithm

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The Problem Depth-First Traversal Hacking (Optimization) Traversal in Special Networks

## Traversal in Complete Graphs

- Depth-first traversal is again achieved very simply by the initiator sequentially passing the token to all its neighbors
- Message cost and ideal time are both 2n 2 for this algorithm

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Subnets

## Practical Implications

- Our study of these protocols and their efficiency indicates that costs are relative to the number of edges in the graph
- In practise, this would seem to imply that well connected graphs lead to inefficient usage
- We can circumvent this adverse result by a simple insight: We can operate on any subnet of G and ignore the rest of edges

Subnets

#### Subnets

- Which subnet of G should we choose?
- ► For any connected, undirected graph we have  $(n^2 n)/2 \ge m \ge n 1$
- In particular, the upper limit is true for complete graphs and the lower for trees
- This leads to the conclusion that we should choose a spanning tree
- More on spanning trees on the upcoming presentations!

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Subnets

## Questions and Answers

Any Questions?

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## Questions and Answers

Thank You!

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