# Formal specification of authentication protocols

Olli Pottonen olli.pottonen@tkk.fi

29.11.2007 (revised 3.12.2007)

T-79.5502 Advanced Course in Cryptology

# Overview

- Proper use of cryptographic transformations (17.2)
- Formal specification and security proofs (17.3)

## **Cryptographic transformations**

- **Encryption**: confidentiality, no data integrity (usually)
- Message M, key K:  $\{M\}_K$
- Many authentication protocols misuse encryption.

#### Authentication via encryption-decryption

Example: Needham-Schroeder Public-Key Authentication Protocol:

- 1. Alice  $\rightarrow$  Bob:  $\{N_A, Alice\}_{K_B}$
- 2. Bob  $\rightarrow$  Alice:  $\{N_A, N_B\}_{K_A}$
- 3. Alice  $\rightarrow$  Bob:  $\{N_B\}_{K_B}$

Underlying assumption: only Alice can decrypt messages  $\{M\}_{K_A}$ , and only Bob messages  $\{M\}_{K_B}$ .

#### Lowe's attack

- 1. Alice  $\rightarrow$  Malice:  $\{N_A, Alice\}_{K_M}$
- 1'. Malice("A")  $\rightarrow$  Bob:  $\{N_A, Alice\}_{K_B}$
- 2'. Bob  $\rightarrow$  Malice("A"):  $\{N_A, N_B\}_{K_A}$
- 2. Malice  $\rightarrow$  Alice:  $\{N_A, N_B\}_{K_A}$
- 3. Alice  $\rightarrow$  Malice:  $\{N_B\}_{K_M}$
- 3'. Malice("A")  $\rightarrow$  Bob:  $\{N_B\}_{K_B}$

Result: Bob mistakes Malice for Alice.

## Harmfulness of encryption-decryption

Alice acts as an decryption/encryption oracle, which Malice can use for breaking security.

### **Encryption does not provide data integrity**

- Encryption is usually carried out block at a time.
- Malice may change some of the blocks and leave others untouched.
- For example, consider CBC:  $C_0 \leftarrow IV$ ;  $P_i \leftarrow \mathcal{D}(C_i) \oplus C_{i-1}$ .
- If Malice changes block  $C_i$ , the decrypted blocks  $P_i$  and  $P_{i+1}$  are affected.
- $P_{i+1}$  changes in predictable way.
- Malice needs encryption oracle to change also  $P_i$  in predictable way.

### Back to Cryptographic transformations

- **Encryption**: confidentiality, no data integrity (unless non-malleable)
- Message M, key K:  $\{M\}_K$
- **One-way transform** (MAC, digital signature): data integrity and message source identification, no confidentiality
- Message M, key K:  $[M]_K$
- We assume  $[M]_K = (M, prf_K(M))$ , where  $prf_K$  is a keyed pseudorandom function.

#### **Needham-Schroeder revisited**

How to fix Needham-Schroeder Public-key Authentication Protocol:

- 1. Alice  $\rightarrow$  Bob:  $[\{N_A\}_{K_B}, Alice]_{K_A}$
- 2. Bob  $\rightarrow$  Alice:  $[\{N_A, N_B\}_{K_A}]_{K_B}$
- 3. Alice  $\rightarrow$  Bob:  $[\{N_B\}_{K_B}]_{K_A}$

# Formal specification of authentication protocols - the Bellare-Rogaway Model

- Honest participant: polynomial-time function  $\Pi(1^k, i, j, K, conv, r)$ , where
  - -k: the security parameter (key size)
    - \* Computation must be polynomial-time with respect to  $1^k$
  - -i: identity of the participant
  - *j*: identity of the intended communication partner
  - K: long-lived symmetric key shared by i and j
  - conv: conversation, i.e., concatenation of all sent and received messages
  - r: random input generated by the participant

### Formal specification (cont.)

- Execution of  $\Pi(1^k, i, j, K, conv, r)$  yields
  - m: the message sent out  $m \in \{0,1\}^* \cup \{\text{no output}\}$
  - $\sigma$ : decision  $\sigma \in \{\text{Accept}, \text{Reject}, \text{Undecided}\}$
  - $\alpha$ : the private output  $\alpha \in \{0,1\}^* \cup \{\text{no output}\}$
- $\Pi_{i,j}^s$  denotes participant i attempting to authenticate j in a session labeled by s.

### Formal specification: Malice

- Malice has unlimited access to *oracles*  $\Pi_{i,j}^s, \Pi_{j,i}^t$ , with values of i, j, s, t, conv supplied by Malice.
- The key K and random values r not known by Malice.
- Malice gets message m and decision  $\delta$ , not private input  $\alpha$ .

### Formal specification: security definition

- Matching conversations: The messages received by  $\Pi_{i,j}^s$  were sent by  $\Pi_{i,i}^t$  in the correct order, and vice versa.
- $conv = (\tau_0, "'', m_1), (\tau_2, m'_1, m_2), \dots, (\tau_{2t-2}, m'_t, m_t)$  and  $conv' = (\tau_1, m_1, m'_1), (\tau_3, m_2, m'_2), \dots, (\tau_{2t-1}, m_t, "'')$  are matching (here Alice sends the first and last messages).
- Malice wins, if  $\Pi_{i,j}^s$  and  $\Pi_{j,i}^t$  accept while not having matching conversations.
- Protocol is secure, if probability of Malice winning in polynomial time is negligible.

### MAP1

Mutual Authentication Protocol 1 (MAP1):

- 1. Alice  $\rightarrow$  Bob:  $A \parallel R_A$
- 2. Bob  $\rightarrow$  Alice:  $[B \parallel A \parallel R_A \parallel R_B]_K$
- 3. Alice  $\rightarrow$  Bob:  $[A \parallel R_B]_K$

Recall that  $[M]_K = (M, prf_K(M))$ . K,  $R_A$ ,  $R_B$  and  $prf_K(M)$  have length  $\Omega(k)$ .

### **Proof of security**

- First assume that  $[M]_K = (M, rf_K(M))$ , where  $rf_K$  is a truly random function.
- Alice accepts only if she sent A || R<sub>A</sub> and received [B || A || R<sub>A</sub> || R<sub>B</sub>]<sub>K</sub>. Malice can guess rf<sub>K</sub>(B || A || R<sub>A</sub> || R<sub>B</sub>) with negligible probability ⇒ rf<sub>K</sub>(B || A || R<sub>A</sub> || R<sub>B</sub>) was computed by Bob ⇒ Bob received A || R<sub>A</sub> and sent [B || A || R<sub>A</sub> || R<sub>B</sub>]<sub>K</sub>.
- Bob received  $A \parallel R_A$  and sent  $[B \parallel A \parallel R_A \parallel R_B]_K$ . He accepts only if he receives  $[A \parallel R_B]_K$ . In that case the conversations are matching.

## Proof of security (cont.)

- Now consider pseudorandom function  $prf_K$ . By definition, a secure pseudorandom function  $prf_K$  can not be distinguished from a truly random  $rf_K$  with non-negligible advantage.
- Consider the following algorithm for distinguishing  $prf_K$  and  $rf_K$ :
  - Charlie is given function  $g_K$ . He lets  $[M]_K = (M, g_K(M))$  and simulates Malice and the oracles in the MAP1 protocol with function  $g_K$ . The assumption is that Malice succeeds in MAP1 with  $g_K = prf_K$ with non-negligible probability, but if  $g_K = rf_K$ , then Malice's chances are negligible. If Malice wins, Charlies guesses "pseudorandom", otherwise Charlie guesses "random".

### **Proof of security (cont.)**

• Now Charlie's advantage is Adv(Charlie)

 $= |P(guess = pseudornd, g_K = prf_K) - P(guess = pseudornd, g_K = prf_K)|$ 

$$= |P(g_{K} = prf_{K})P(guess = pseudornd|g_{K} = prf_{K})$$
  

$$-P(g_{K} = rf_{K})P(guess = pseudornd|g_{K} = rf_{K})|$$
  

$$= \frac{1}{2}|P(\text{Malice wins in MAP1}|g_{K} = prf_{K}) - P(\text{Malice wins in MAP1}|g_{K} = rf_{K})|$$
  

$$= \frac{1}{2}|p_{p}(k) - p_{r}(k)|,$$
  
where  $m_{r}(k) = P(\text{Malice wing in MAP1}|g_{K} = mrf_{K}) \text{ and } m_{r}(k) =$ 

where  $p_p(k) = P(\text{Malice wins in MAP1}|g_K = prf_K)$  and  $p_r(k) = P(\text{Malice wins in MAP1}|g_K = rf_K)$ .

## Proof of security (cont.)

- By the first part of the proof,  $p_r(k)$  is negligible. Hence, if  $p_p(k)$  is non-negligible, then Adv(Charlie) is non-negligible, as shown on the next slide.
- So we have shown that since MAP1 is secure with truly random function, then MAP1 is also secure with pseudorandom function  $prf_K$ , for otherwise MAP1 could be used to construct an efficient algorithm for distinguishing between pseudorandom and random functions.

### **Proof of security (technical details)**

- We must yet show that  $|p_r(k) p_p(k)|$  is non-negligible when  $p_r(k)$  is negligible and  $p_p(k)$  is not. Recall that function f is negligible if 1/f(k) is not polynomially bound.
- Since  $1/p_p(k)$  is polynomially bound and  $1/p_r(k)$  is not,  $1/p_p(k) \leq \frac{1}{2}1/p_r(k)$  for large enough k. Thus for large enough k,  $p_r(k) \leq \frac{1}{2}p_p(k)$ , and  $Adv(\text{Charlie}) = \frac{1}{2}|p_r(k) - p_p(k)| \geq \frac{1}{4}p_p(k)$ , which is non-negligible.