Preliminaries

The reduction technique revisited: a way to show that a problem is **NP**-complete is to use the deduction rule below.

$$\frac{\begin{array}{c}L \text{ is } \mathbf{NP}\text{-complete} \\ L' \text{ is in } \mathbf{NP} \\ \text{There is a mapping } f \text{ such that } x \in L \text{ iff } f(x) \in L' \text{ holds for all } x \\ f(x) \text{ can be be computed in logarithmic space w.r.t. } |x|\end{array}}{L' \text{ is } \mathbf{NP}\text{-complete}}$$

A Proof that INDEPENDENT SET is NP-complete

See pages 188–189 in the book.

A Proof that FEEDBACK VERTEX SET is NP-complete

Preliminaries

The problem NODE COVER is the following:

> Given an undirected graph $G = \langle V, E \rangle$ and an integer $B \leq |V|$, is there a subset $V' \subseteq V$, $|V'| \leq B$, such that each edge in G has at least one of its endpoints in V'.

We know that NODE COVER is **NP**-complete (see page 190 in the book).

The Problem and a Solution

Show that the following problem, called FEEDBACK VERTEX SET, is **NP**-complete:

> Given a directed graph $G = \langle V, E \rangle$ and an integer $B \leq |V|$, is there a subset $V' \subseteq V$ such that (i) $|V'| \leq B$, and (ii) every directed circuit in G includes at least one vertex from V'?

Note that an equivalent definition of the problem is: Given a directed graph $G = \langle V, E \rangle$ and an integer $B \leq |V|$, is there a subset $V' \subseteq V$ such that (i) $|V'| \leq B$, and (ii) the directed graph $G' = \langle V \setminus V', E \cap (V \setminus V') \times (V \setminus V') \rangle$ has no cycles?

Obviously FEEDBACK VERTEX SET is in **NP**: (i) guess the set V' such that $|V'| \leq B$, and (ii) use, e.g., Tarjan's algorithm for finding strongly connected components of a graph to check whether $G' = \langle V \setminus V', E \cap (V \setminus V') \times (V \setminus V') \rangle$ has any cycles.

We show the **NP**-hardness by reducing from the **NP**-complete problem NODE COVER. Given an input $G; B$ for NODE COVER, where $G = \langle V, E \rangle$ is an undirected graph and $B \leq |V|$ is an integer, the corresponding input for FEEDBACK VERTEX SET is $\hat{G}; B$, where $\hat{G}$ is the directed graph $\hat{G} = \langle V, \hat{E} = \{\langle v_i, v_j \rangle, \langle v_j, v_i \rangle \mid \{v_i, v_j\} \in E\} \rangle$. That is, $\hat{G}$ is G interpreted as a directed graph.

Now if G has a node cover V', $|V'| \leq B$, then the directed graph $G' = \langle V \setminus V', \hat{E} \cap (V \setminus V') \times (V \setminus V') \rangle$ has no edges and thus cannot have any cycles. Thus if V' is a node cover for G, then V' is a feedback vertex set for $\hat{G}$.

On the other hand, assume that $\hat{G}$ has a feedback vertex set V', $|V'| \leq B$. Then for each edge pair $\langle v_i, v_j \rangle$ and $\langle v_j, v_i \rangle$ between the nodes v_i and v_j, at least one of v_i, v_j has to be in V' (otherwise $G' = \langle V \setminus V', \hat{E} \cap (V \setminus V') \times (V \setminus V') \rangle$ would have a cycle $v_i \to v_j \to v_i$). Therefore V' is also a node cover for G.

To sum up, V' is a node cover of G iff V' is a feedback vertex set of $\hat{G}$. Obviously the reduction can be computed in logarithmic space.

A Proof that PARTITION INTO TRIANGLES is NP-complete

Preliminaries

Graphs in this exercise are assumed to be undirected and not containing self-loops.

The problem EXACT COVER BY 3-SETS is the following:

> We are given a family $F = \{S_1, \ldots, S_n\}$ of subsets of a set U, such that $|U| = 3m$ for some integer m, and $|S_i| = 3$ for all i. The question is whether there are m sets in F that are disjoint and have U as their union.

We know that EXACT COVER BY 3-SETS is **NP**-complete (see page 201 in the book).

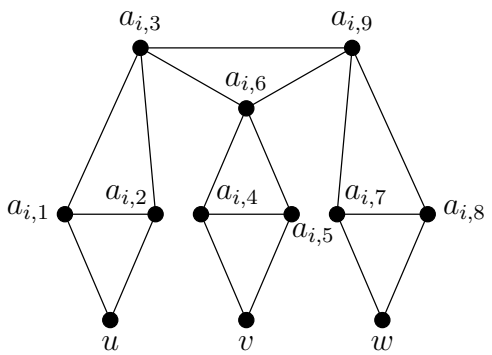
The Problem and a Solution

Show that the following problem, called PARTITION INTO TRIANGLES, is **NP**-complete:

> Given a graph $G = \langle V, E \rangle$ with $|V| = 3q$ for an integer q, is there a partition of V into q mutually disjoint sets $V_1, V_2, \ldots, V_q$ of three vertices each such that, for each $V_i = \{v_{i,1}, v_{i,2}, v_{i,3}\}$, the three edges $\{v_{i,1}, v_{i,2}\}$,$\{v_{i,1}, v_{i,3}\}$, and $\{v_{i,2}, v_{i,3}\}$ all belong to E?

Clearly PARTITION INTO TRIANGLES is in **NP** because we can first nondeterministically guess a partition of V and then check (in deterministic polynomial time) that each V_i fulfills the triangle condition.

We show the **NP**-hardness by reducing from the **NP**-complete problem EXACT COVER BY 3-SETS. Assume that we are given a set U such that $|U| = 3m$ for some integer m and a family $F = \{S_1, \ldots, S_n\}$ of subsets of U such that $|S_i| = 3$ for all i. We now construct a graph $G = \langle V, E \rangle$ with $|V| = 3q'$ such that G can be partitioned into q' triangles iff F contains an exact cover for U. First, it can be safely assumed that each element $u \in U$ appears in at least one set S_i in F: if this is not the case, then F cannot have an exact cover for U and we can simply output a simple constant graph that cannot be partitioned into triangles. Otherwise, we substitute for each set $S_i = \{u, v, w\}$ appearing in F the collection E_i of 18 edges shown below.



The graph $G = \langle V, E \rangle$ is now defined by

$$\begin{aligned} V &= U \cup \bigcup_{i=1}^{n} \{a_{i,j} \mid 1 \leq j \leq 9\} \\ E &= \bigcup_{i=1}^{n} E_i. \end{aligned}$$

Now $|V| = |U| + 9n = 3m + 9n$ and thus $q' = m + 3n$. Note that only the U-vertices may be shared by different E_is.

Assume that $F' \subseteq F$ is an exact partition of U (and thus $|F'| = m$). Then, if $S_i = \{u, v, w\} \in F'$, take $\{a_{i,3}, a_{i,6}, a_{i,9}\}$, $\{u, a_{i,1}, a_{i,2}\}$, $\{v, a_{i,4}, a_{i,5}\}$ and $\{w, a_{i,7}, a_{i,8}\}$ to belong to the triangle partition of G and, if $S_i = \{u, v, w\} \notin F'$, choose $\{a_{i,1}, a_{i,2}, a_{i,3}\}$, $\{a_{i,4}, a_{i,5}, a_{i,6}\}$ and $\{a_{i,7}, a_{i,8}, a_{i,9}\}$ to belong to the triangle partition of G. Clearly the result is a valid triangle partition of G because the partition is disjoint, each vertex is in the partition and the partition has $q' = m + 3n$ sets.

To show the opposite direction, assume that V can be partitioned into $q' = m+3n$ triangles $V_1, V_2, \ldots, V_{q'}$. Then the corresponding exact cover of U is given by choosing those S_i of F such that $\{a_{i,3}, a_{i,6}, a_{i,9}\} = V_k$ for some $1 \leq k \leq q'$. This is justified as follows. For each $u \in U$ there is exactly one triangle into which u belongs, let's say it was $\{u, a_{i,1}, a_{i,2}\}$. Then $\{a_{i,3}, a_{i,6}, a_{i,9}\}$, $\{v, a_{i,5}, a_{i,6}\}$ and $\{w, a_{i,6}, a_{i,9}\}$ for $S_i = \{u, v, w\}$ must also be in the triangle partition. Furthermore, since no other $\{u, a_{j,x}, a_{j,y}\}$ can be in the triangle partition, the corresponding $\{a_{j,3}, a_{j,6}, a_{j,9}\}$ cannot be in the triangle partition. Therefore each u appears in exactly one chosen S_i. This also implies that we choose exacly m S_is.

We have thus showed that G can be partitioned into $q' = m + 3n$ triangles iff F contains an exact cover of m sets for U.

To show that the reduction can be carried out in logarithmic space, note that the reduction is made by local substitutions. We therefore need 3 registers of length $\log(3m)$ to remember the elements in the currently processed set S_i of F and one counter of length $\log n$ to remember the number i of the set. We then just output the edges in E_i to the output tape.