Tik-79.240 Special Course on Computational Complexity (3 cr)

Lectures: Wednesdays 16-19, room TB353
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Home page: `http://www.tcs.hut.fi/Teaching/Tik-79.240/`

Goals

- Identification of computationally hard problems
- Classification of problems according to their complexity
- Choosing appropriate algorithmic approach w.r.t. complexity of the problem

Topics

- Central complexity classes (P, NP, PSPACE, NC, polynomial hierarchy, ...) and related methods for complexity analysis
- Randomized computation
- Parallel computation
- Cryptography

Material: C. Papadimitriou, Computational Complexity, Addison-Wesley, 1994. Ch. 1–4, 7–20

Passing the course

- Seminar talks (2)
- Home assignments (2 assignments/week)

The grade of the course is determined by the points earned from the home assignments.
There is **no final exam**.

Grade	Lower bound
1	50 %
2	57 %
3	64 %
4	71 %
5	78 %

Practical arrangements

- There will be **2 home assignments/week** (26 assignments).
  The **deadline** for returning the assignments is **two weeks** from the lecture where they were given.
  Each assignment is graded with the scale 0–2.
  There is a fall-back deadline for all home assignments: **Jan 15, 2001**.
  For assignments returned by the fall-back deadline the scale is 0–1.5.
- Seminar talks (2) are 45 min each.
  No written report is required.

Problems vs. algorithms

The course focuses on analyzing *problems* (not algorithms).

- A problem: an infinite set of possible instances with a question
- A *decision problem*: a question with a yes/no answer

Example. REACHABILITY:
INSTANCE: A graph (V, E) and nodes $v, u \in V$.
QUESTION: Is there a path in the graph from v to u?

An algorithm for REACHABILITY:

$S := \{v\}$; mark v;
while $S \neq \{\}$ **do**
  choose a node i and remove it from S;
  for all $(i, j) \in E$ **do**
    if j is not marked **then** mark j and add it to S
    endif
  endfor
endwhile ;
if u marked **then** return 'there is a path from v to u'
else return 'there is no path from v to u'
endif

How efficient is the algorithm?

- Programming language?
- Computer architecture?
- Representation of the graph?
- Representation of the set S?

Given certain assumptions the algorithm terminates in $\mathcal{O}(|E|)$ steps.

Rate of growth of functions

Let f, g: $\mathbf{N} \mapsto \mathbf{N}$.

- $f(n) = \mathcal{O}(g(n))$ (*f grows as g or slower*), if there are positive integers c and n_0 such that for all $n \geq n_0$, $f(n) \leq c \cdot g(n)$
- $f(n) = \Omega(g(n))$, if $g(n) = \mathcal{O}(f(n))$
- $f(n) = \Theta(g(n))$, if $g(n) = \mathcal{O}(f(n))$ and $f(n) = \mathcal{O}(g(n))$.

Example. If $p(n)$ is a polynomial of degree d, then $p(n) = \Theta(n^d)$.

If $c > 1$ is an integer and $p(n)$ a polynomial, then $p(n) = \mathcal{O}(c^n)$ but $p(n) \neq \Omega(c^n)$.

If $k > 1$ is an integer, then $\log^k n = \mathcal{O}(n)$

Simplifying assumptions for analyzing problems:

- A problem is *efficiently solvable* when there is an algorithm solving the problem such that the rate of growth of the solution time is *polynomial* w.r.t. the size of the input ($\mathcal{O}(n^d)$)
- A problem is *intractable* when no polynomial time algorithm available.
- Consider the *worst-case performance* (not, e.g., average case).
- Model of algorithms: *Turing machines*

Possible criticism:

- Not all polynomial time algorithms are efficient in practice.
  There are efficient computations that are not polynomial.
  For instance, consider n^{80} vs $2^{\frac{n}{100}}$.
- Average case analysis is more informative than worst-case.

☞ Adopting polynomial time worst-case performance as our criterion of efficiency results in an elegant and useful theory that says something meaningful about practical computation, and would be impossible without this simplification.

Example problems

MAX FLOW
INSTANCE: Network $N = (V, E, s, t, c)$, where (V, E) is a (directed) graph, $s, t \in V$, s has no incoming edges, t has no outgoing edges and c is a function giving a capacity for each edge ($c(i, j)$ is a positive integer).
QUESTION: What is the largest possible value for the flow in N?

A *flow* is a function f that assigns for each edge (i, j) a nonnegative integer $f(i, j) \leq c(i, j)$ such that for each node (except s, t) the sum of fs of the incoming edges is equal to the sum of fs of the outgoing edges.
The *value* of the flow is the sum of the flows in the edges leaving s.

- MAX FLOW is an *optimization problem*.
- MAX FLOW(D) (decision problem)
  INSTANCE: Network $N = (V, E, s, t, c)$ and integer K (goal/target value)
  QUESTION: Is there a flow of value K or more?
- MAX FLOW and MAX FLOW(D) are roughly equivalent.
- MAX FLOW is a nice example of a problem where the problem was to find a polynomial time solution method.

  When "the *barrier of exponentiality*" was broken, more and more efficient polynomial time algorithms were developed $(\mathcal{O}(n^5), \ldots, \mathcal{O}(n^3), \ldots)$

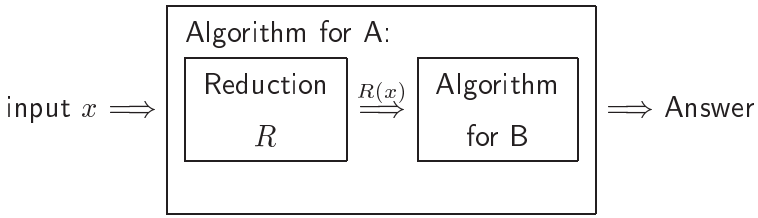
Bipartite matching

MATCHING

INSTANCE: Bipartite graph $B = (U, V, E)$, where $U = \{u_1, \ldots, u_n\}$, $V = \{v_1, \ldots, v_n\}$, $E \subseteq U \times V$.

QUESTION: Is there a set $M \subseteq E$ of n edges such that for any two edges $(u, v), (u', v') \in M$, $u \neq u'$ and $v \neq v'$
(is there a perfect matching)?

- MATCHING can be solved by a *reduction* to MAX FLOW.
- A reduction is an algorithm that solves problem A by transforming any instance of A to an equivalent instance of a previously solved problem B.



- An efficient algorithm for MAX FLOW provides an efficient algorithm for MATCHING if the reduction is efficient.

- A reduction from MATCHING to MAX FLOW:
  Given any bipartite graph $B = (U, V, E)$, construct a network $N = (V \cup U \cup \{s, t\}, E', s, t, c)$,
  where $E' = E \cup \{(s, u) \mid u \in U\} \cup \{(v, t) \mid v \in V\}$ and all capacities equal to 1.
- B has a perfect matching iff N has a flow of value n.

The traveling salesperson problem

TSP
INSTANCE: n cities $1, \ldots, n$ and a nonnegative integer distance d_{ij} between any two cities i and j (such that $d_{ij} = d_{ji}$).
QUESTION: What is the shortest tour of the cities, i.e., permutation π such that

$$\sum_{i=1}^{n} d_{\pi(i)\pi(i+1)}$$

is as small as possible (where $\pi(n+1) = \pi(1)$).

Decision problem TSP(D): is there a tour of length at most B (budget)?

- A naive algorithm: enumerate all possible solutions, compute the cost of each, and pick the best.

  Not very practical; $\mathcal{O}(n!)$ tours (10! = 3 628 800)

- For TSP no polynomial algorithm is known (despite very intensive efforts).

- Conjecture: there can be no polynomial-time algorithm for TSP.

  Closely related to one of the most important open problems in computer science:

$$\mathrm{P} = \mathrm{NP}?$$